

Program Report Card: Urban Area Security Initiative Program (DEMHS)

Quality of Life Result: Hartford and Bridgeport communities will be more resilient to natural disasters and man-made attacks.

Contribution to the Result: The UASI Grant provides funding for the designated UASI areas to plan, collaborate and equip their emergency response agencies with the tools necessary to mitigate terrorist attacks and reduce vulnerabilities within the communities. The UASI grant helps to enhance the preparedness of nearly 1.75 million Connecticut residents living within those regions.

Total Program Funding (FFY 09,08): \$9,405,130 *State Funding:* 0 *Federal Funding:* \$9,405,130 *Other Funding:* \$ 0

Partners: State Agencies (DPS, DPH, DOT, DEP); Regional Catastrophic Planning Team RCPT States (NY, NJ, PA); Municipal Governments located within the Urban Areas, Non-Governmental Agencies (ARC, CT VOAD, hospitals, universities); Private Sector (small and large businesses located within the Urban Areas); Community Groups (civic groups, faith-based organizations, CERT teams), local citizens and visitors.

Performance Measure 1: Planning

The goal of planning is to develop and update as needed, operational and strategic plans for emergency response within the two UASI designated areas. To date, the Hartford UASI has completed the Target Capabilities Assessment and the Long-term Facility Planning Project. The Bridgeport UASI has a contractor in place to oversee the Communications Project which is approximately 50-60% complete.

UASI	Planning Project	Status (%)	Measurable Outcome
Bridgeport	Communications Project Oversight	100	Contractor hired and providing oversight
	Communications Project	50-60	Interoperable Communications Systems
Hartford	Target Capabilities Assessment	100	Target Capabilities Assessment Report –
	Long-term Facility Planning	100	Directory of Long Term Facilities, Mutual Aid Agreements

Story behind the baseline: All planning initiatives are overseen by the Urban Area Working Groups (UAWG) and the Regional Emergency Planning Teams (REPT) within each region. These groups are multi-disciplinary, multi-jurisdictional boards who oversee all projects and grant related funds within the region. DEMHS is the second line of oversight. A DEMHS Program Manager is assigned to each UASI. The Program Managers

interpret the grant guidance and ensure that the proposed projects are aligned with the State-wide Strategy. They monitor the fiscal and programmatic progress of the projects throughout the grant cycle.

Proposed actions to turn the curve: Planning continues to be a priority for FEMA, DEMHS, and the Urban Areas. The Hartford Urban Area completed their Target Capabilities Assessment and the Bridgeport UASI continues to produce SWOT (Strengths, Weaknesses, Opportunities, Threats) analyses as well as gap analysis. These assessments allow the regions to see how they are progressing against the stated goals and objectives in their UASI Strategy and the State-wide Strategy. It also identifies where future grant funds should be directed.

Performance Measure 2: Organization

The goal of organization is to establish and maintain collaborative groups who share the common goal of preventing, preparing for, response to, and recovery from terrorism. DEMHS recently established five regional emergency planning teams throughout the state, two of which encompass the entire UASI areas.

Story behind the baseline: Organization is measured in multiple ways. Dates of meetings are submitted annually to the Secretary of the State's Office. Meeting agendas and minutes are produced for REPT and UAWG meetings. DEMHS staff (specifically grant Program Managers) regularly attends these meetings in person, to serve as a resource to the UAWG/REPT. In addition, meetings are reported on sub grantee progress reports, which are submitted quarterly to DEMHS. As part of the federal grant program guidance, FEMA requires that all

UASIs identify their program oversight and grant governance.

Proposed actions to turn the curve: DEMHS established the regional approach to emergency planning prior to these two areas being designated as high risk urban areas. The first responders and chief elected officials both have shown their support for this approach and continue to believe it is a worthwhile endeavor. Both urban areas are now identifying ways to keep this approach moving with or without any state or grant funds. The regions are again leveraging other funding opportunities (additional grants, municipal dollars, etc.) to not only continue the regional planning teams, but also to enhance the programs. For example, they are able to prioritize other projects located in other grants based upon the current funding levels in order to adequately sustain the UASI projects should the UASI funds no longer be there. The UASI's have begun to draft their budgets and Investment Justifications on a multi-year basis to capitalize on future UASI funding.

Performance Measure 3: Equipment

The funding provided by this grant allows for the procurement of equipment to enhance the capabilities of the urban areas.

Story behind the baseline:

All equipment must first be deemed allowable for purchase under the UASI Grant funding. To measure this, sub-grantees as well as DEMHS Program Managers check for the eligibility for all budgeted equipment against the Allowable Equipment List (AEL) that is produced by FEMA. Once the equipment is approved by

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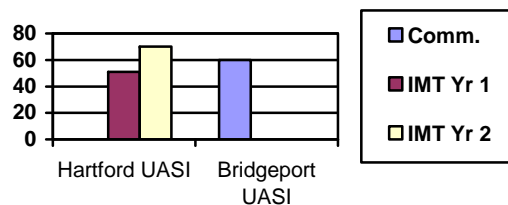
DEMHS and FEMA, the grant administrative agent will then utilize their internal process to procure the items. This may be done using state contracts or through a RFQ/RFP process. DEMHS again monitors these transactions through quarterly progress and financial reports as well as through funding reimbursement requests. At the close of the grant cycle, the UASI's submit an inventory of equipment purchased during the life of the grant. DEMHS conducts monitoring visits which include review of the grant funded inventory.

Proposed actions to turn the curve: DEMHS instituted a MOA (Memorandum of Agreement) process as a creative solution to the challenge of a regional approach to emergency management and homeland security. Once equipment is purchased, an organization takes custody of this equipment through a MOA process. The MOA stipulates that the custodial owner will maintain the equipment and make it available for regional use. This process allows the equipment to remain viable after purchase and ensures its readiness for disasters. In many cases, the organization will add this equipment to its current inventory for regular maintenance and use.

Performance Measure 4: Training

An important component of planning, equipping, and organization is training all end users on these products. Therefore, training will continue to be provided on the plan documents and equipment that will be developed through this program. In addition training will be provided on general terrorism education and response.

Number of Individuals Trained



Story behind the baseline: Training is implemented through the sub grantee administrative agent. All trainings that are paid for with grant funds must first be

deemed allowable by FEMA. In the yearly grant guidance that is produced, FEMA designates what types of trainings are eligible for funding. In addition, as a grant special condition, which applies to both UASI subgrants, the DEMHS Training Unit must approve all grant-funded trainings prior to these being scheduled. The DEMHS Training Unit regularly participates in these trainings as well. Records of attendance and completion are kept either by the training service or by the DEMHS Training Unit. In addition, all trainings and their successes are reported to DEMHS Program Managers through the quarterly progress and financial reports. The Bridgeport UASI has conducted two sessions, training 60 individuals in the Communications Unit Leader Course. The Hartford UASI has trained 121 individuals in IMT courses over a two year period. The IMT Position specific training was conducted utilizing a combination of UASI and HSGP funds.

Proposed actions to turn the curve:

Proper training is the backbone to a coordinated response. There are numerous no-cost training courses that are available to all partners and are being utilized throughout the regions. Due to the ongoing regional collaboration, local municipalities and regional organizations often set up trainings that are available to all partners, not just a single municipality. The DEMHS training unit continues to provide no-cost courses to all of the first response community throughout the state. Additional training is available to the UASI's through the Regional Catastrophic Planning Grant Program which is made up of the states of New York, New Jersey, Connecticut and Pennsylvania. Partnering with these states has made additional training available at no cost to the responders of Connecticut.

Performance Measure 5: Exercise

The final portion of this program will be exercising the plan documents and utilizing the equipment that is purchased.

UASI	Exercise	Measurable Outcome
Bridgeport	Terrorism / LE Tactical	Conducted 11/2008
Hartford	Autumn Storm	TXX - 8/09 FE - 7/09

Story behind the baseline:

Any exercises that are scheduled must first meet Federal Homeland Security Exercise and Evaluation Program (HSEEP) compliance. This Federal standard does not grade exercises, but rather highlights the strengths and areas where improvement is needed. This allows all participants take each exercise as a learning event rather than a pass or fail test. In addition, the DEMHS Training Unit works with the two urban areas on all exercises conducted. As with trainings, they must approve all activities prior to being scheduled. Also, as with all grant funded projects, the two urban areas must submit all projects to FEMA initially for approval. No funds are awarded until FEMA has approved all aspects of the grant application. Once this occurs, DEMHS, as the State Administrative Agent, must again review and approve all projects before money is sub granted to the regions. Then through regular progress and financial reports, the DEMHS Program Managers oversee the entire status of the program and make monitoring visits to the regions.

Proposed actions to turn the curve: The urban areas have built regional exercises into their planning and grant programs so that a comprehensive training and exercise program can be established. Due to federal assistance, there are many programs available that will assist in providing funding for exercises. Both urban areas have established multi-year exercise programs to continue this effort throughout the regions for many years. These programs identify gaps in training and exercises and set a realistic schedule to conduct these sessions over the next five years. In addition, working with neighboring jurisdictions, the urban areas are able to participate in and learn from others opportunities at no cost to them. DEMHS regional trainers will work with each region and the Exercise and Training subcommittee of the REPT to oversee all of the exercise activities and to ensure compliance with the multi-year exercise and training plan.